

Client Service Standard of Care



RAYMOND JAMES®

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COMPREHENSIVE WEALTH MANAGEMENT
Concierge Level
\$1,000,000+

- Charitable planning strategies
- Complex trust strategies
- Assistance in settling estates
- Small business strategies and succession planning
- Wealth transfer education for the next generation

- Total Asset Allocation Advantage
- Collaboration with CPAs and attorneys
- Estate planning
- Tax planning strategies
- Executive compensation analysis
- Income distribution strategies

- Financial goal planning
- Customized model and portfolio construction
- Retirement needs analysis
- Education fund strategies
- Survivor needs/ Insurance review
- Long-term care planning
- Annual 401 (k) analysis
- Securities class action paperwork assistance

- All-Inclusive account aggregation
- Performance summary reports
- Capital gain tax planning
- Beneficiary review on all retirement accounts and annuities

- Quarterly review
- Investment advise
- Ongoing portfolio monitoring
- Online Investor Access
- Online Digital Document Organizer/Vault
- IRA RMD planning
- Quarterly newsletter

1% annual fee

Financial Planning and Investment Management
Custom Level
\$500,000-\$1,000,000

- Total Asset Allocation Advantage
- Collaboration with CPAs and attorneys
- Estate planning
- Tax planning strategies
- Executive compensation analysis
- Income distribution strategies

- Financial goal planning
- Customized model and portfolio construction
- Retirement needs analysis
- Education fund strategies
- Survivor needs/ Insurance review
- Long-term care planning
- Annual 401 (k) analysis
- Securities class action paperwork assistance

- All-Inclusive account aggregation
- Performance summary reports
- Capital gain tax planning
- Beneficiary review on all retirement accounts and annuities
- Annuities

- Quarterly review
- Investment advise
- Ongoing portfolio monitoring
- Online Investor Access
- Online Digital Document Organizer/Vault
- IRA RMD planning
- Quarterly newsletter

1.1% annual fee

Financial Planning and Investment Management
Basic Level
\$250,000-\$500,000

- Financial goal planning
- Customized model and portfolio construction
- Retirement needs analysis
- Education fund strategies
- Survivor needs/ Insurance review
- Long-term care planning
- Annual 401 (k) analysis
- Securities class action paperwork assistance

- All-Inclusive account aggregation
- Performance summary reports
- Capital gain tax planning
- Beneficiary review on all retirement accounts and annuities

- Semi-Annual review
- Investment advise
- Ongoing portfolio monitoring
- Online Investor Access
- Online Digital Document Organizer/Vault
- IRA RMD planning
- Quarterly newsletter

1.2% annual fee

Investment Management
Enhanced Level
\$100,000-\$250,000

- All-Inclusive account aggregation
- Performance summary reports
- Capital gain tax planning
- Beneficiary review on all retirement accounts and annuities

- Semi-Annual review
- Investment advise
- Ongoing portfolio monitoring
- Online Investor Access
- Online Digital Document Organizer/Vault
- IRA RMD planning
- Quarterly newsletter

1.3% annual fee

Investment Management
Basic Level
0-\$100,000

- Annual review
- Investment advise
- Ongoing portfolio monitoring
- Online Investor Access
- Online Digital Document Organizer/Vault
- IRA RMD planning
- Quarterly newsletter

1.4% annual fee

****Raymond James does not offer tax or legal services. You should discuss any tax or legal matters with the appropriate professional.**

****Investment fees vary depending on product and platform selected**

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**** See disclaimer on Financial Planning Services Page**