

# Client Service Standard of Care



**RAYMOND JAMES®**

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**COMPREHENSIVE WEALTH MANAGEMENT**  
Concierge Level  
\$1,000,000+

- Charitable planning strategies
- Complex trust strategies
- Assistance in settling estates
- Small business strategies and succession planning
- Wealth transfer education for the next generation

**Financial Planning and Investment Management**  
Custom Level  
\$500,000-\$1,000,000

- Total Asset Allocation Advantage
- Collaboration with CPAs and attorneys
- Estate planning
- Tax planning strategies
- Executive compensation analysis
- Income distribution strategies

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- Estate planning
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**Financial Planning and Investment Management**  
Basic Level  
\$250,000-\$500,000

- Financial goal planning
- Customized model and portfolio construction
- Retirement needs analysis
- Education fund strategies
- Survivor needs/ Insurance review
- Long-term care planning
- Annual 401 (k) education
- Securities class action paperwork assistance

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**Investment Management**  
Enhanced Level  
\$100,000-\$250,000

- All-Inclusive account aggregation
- Performance summary reports
- Capital gain tax planning
- Beneficiary review on all retirement accounts and annuities

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**Investment Management**  
Basic Level  
0-\$100,000

- Annual review
- Investment advise
- Ongoing portfolio monitoring
- Online Investor Access
- Online Digital Document Organizer/Vault
- IRA RMD planning
- Quarterly newsletter

- Semi-Annual review
- Investment advise
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